

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

ADDRESS (number and street) ▼

2220 COLORADO AVENUE

☐ Check if different than previously reported. (ACC)

SANTA MONICA

CA

90404

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00392464

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☒ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer CARY DAVIDSON

Signature of Treasurer

CARY DAVIDSON

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

Report Covering the Period: From: M M / D D / Y Y Y Y Y 01 / 01 / 2014 To: M M / D D / Y Y Y Y Y 01 / 31 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y</span> 2014		<span style="border: 1px solid black; padding: 2px;">102383.80</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">102383.80</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">5951.61</span>	<span style="border: 1px solid black; padding: 2px;">5951.61</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">108335.41</span>	<span style="border: 1px solid black; padding: 2px;">108335.41</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">11500.00</span>	<span style="border: 1px solid black; padding: 2px;">11500.00</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">96835.41</span>	<span style="border: 1px solid black; padding: 2px;">96835.41</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE

## of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	1		0	1		2	0	1	4

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	4

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	929.60	929.60
(ii) Unitemized .....	5022.01	5022.01
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	5951.61	5951.61
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	5951.61	5951.61
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ►	5951.61	5951.61
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	5951.61	5951.61

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	11500.00	11500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	11500.00	11500.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	11500.00	11500.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	5951.61	5951.61
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	5951.61	5951.61
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 11  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE**

Full Name (Last, First, Middle Initial)

**A. Matthew Gerson**

Mailing Address 2220 Colorado Ave.

City State Zip Code  
 Santa Monica CA 90404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Universal Music Group

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

337.02

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 01 / 15 / 2014

**Transaction ID : INCA32506**

Amount of Each Receipt this Period

168.51

Full Name (Last, First, Middle Initial)

**B. Matthew Gerson**

Mailing Address 2220 Colorado Ave.

City State Zip Code  
 Santa Monica CA 90404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Universal Music Group

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

337.02

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2014

**Transaction ID : INCA32583**

Amount of Each Receipt this Period

168.51

Full Name (Last, First, Middle Initial)

**C. Zachary Horowitz**

Mailing Address 2220 Colorado Ave.

City State Zip Code  
 Santa Monica CA 90404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Universal Music Group

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.22

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 01 / 15 / 2014

**Transaction ID : INCA32464**

Amount of Each Receipt this Period

111.11

**SUBTOTAL** of Receipts This Page (optional)..... ►

448.13

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 11  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE**

Full Name (Last, First, Middle Initial)

## **A. Zachary Horowitz**

Mailing Address 2220 Colorado Ave.

City State Zip Code  
 Santa Monica CA 90404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Universal Music Group

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.22

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2014

Transaction ID : INCA32542

Amount of Each Receipt this Period

111.11

Full Name (Last, First, Middle Initial)

## **B. James Iovine**

Mailing Address 2220 Colorado Ave.

City State Zip Code  
 Santa Monica CA 90404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Universal Music Group

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

370.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 01 / 15 / 2014

Transaction ID : INCA32467

Amount of Each Receipt this Period

185.18

Full Name (Last, First, Middle Initial)

## **C. James Iovine**

Mailing Address 2220 Colorado Ave.

City State Zip Code  
 Santa Monica CA 90404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Universal Music Group

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

370.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2014

Transaction ID : INCA32545

Amount of Each Receipt this Period

185.18

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

481.47

929.60

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 8 OF 11

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE**

Full Name (Last, First, Middle Initial)

**A. CHRIS COONS FOR DELAWARE**

Mailing Address P.O. BOX 9900

City  
NEWARKState  
DEZip Code  
19714

Purpose of Disbursement

011

Candidate Name

**CHRIS COONS**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: DE

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		03		2014

**Transaction ID : EXPB32261**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. COLLINS FOR CONGRESS**

Mailing Address P.O. BOX 1295

City  
GAINESVILLEState  
GAZip Code  
30503

Purpose of Disbursement

011

Candidate Name

**DOUGLAS ALLEN COLLINS**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: GA

District: 09

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		03		2014

**Transaction ID : EXPB32259**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. CONGRESSMAN WAXMAN CAMPAIGN COMMITTEE**

Mailing Address 6380 WILSHIRE BLVD., #1612

City  
LOS ANGELESState  
CAZip Code  
90048

Purpose of Disbursement

011

Candidate Name

**HENRY A. WAXMAN**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: CA

District: 33

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		03		2014

**Transaction ID : EXPB32267**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 9 OF 11

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE**

Full Name (Last, First, Middle Initial)

**A. CONYERS FOR CONGRESS**

Mailing Address P.O. BOX 70980

City  
WASHINGTONState  
DCZip Code  
20024

Purpose of Disbursement

011

Candidate Name

**JOHN CONYERS JR.**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: MI

District: 13

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
01		03		2014

**Transaction ID : EXPB32260**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. DELBENE FOR CONGRESS**

Mailing Address P.O. BOX 487

City  
BOTHELLState  
WAZip Code  
98041

Purpose of Disbursement

011

Candidate Name

**SUZAN DELBENE**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: WA

District: 01

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
01		03		2014

**Transaction ID : EXPB32263**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. FRIENDS OF KELLY AYOTTE**

Mailing Address P.O. BOX 937

City  
MANCHESTERState  
NHZip Code  
03105

Purpose of Disbursement

011

Candidate Name

**KELLY A. AYOTTE**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☒ Primary ☐ General  
☐ Other (specify) ▼

State: NH

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
01		08		2014

**Transaction ID : EXPB32432**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 10 OF 11

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE**

Full Name (Last, First, Middle Initial)

**A. ISSA FOR CONGRESS**

Mailing Address P.O. BOX 760

City VISTA	State CA	Zip Code 92085
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Purpose of Disbursement

011

Candidate Name

**DARRELL ISSA**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: CA District: 49

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		03		2014

**Transaction ID : EXPB32264**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. JASON SMITH FOR CONGRESS**

Mailing Address P.O. BOX 1324

City CAPE GIRARDEAU	State MO	Zip Code 63702
------------------------	-------------	-------------------

Purpose of Disbursement

011

Candidate Name

**JASON SMITH**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: MO District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		03		2014

**Transaction ID : EXPB32265**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. KAREN BASS FOR CONGRESS**

Mailing Address 777 S. FIGUEROA ST., STE. 4050

City LOS ANGELES	State CA	Zip Code 90017
---------------------	-------------	-------------------

Purpose of Disbursement

011

Candidate Name

**KAREN BASS**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: CA District: 37

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		03		2014

**Transaction ID : EXPB32258**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3000.00
---------

	21b		22	<b>X</b>	23		24		25		26
	27		28a		28b		28c		29		30b

# UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

1500.00

Age Group	Percentage
18-24	10.00
25-34	15.00
35-44	20.00
45-54	25.00
55-64	30.00
65-74	35.00
75-84	40.00
85+	45.00

11500.00